



Standard Ad Review Disclosures

General Disclosures

Advisory services are offered through [Firm Name], an Investment Advisor in the State of [State].

If SEC Registered, put this in after [Firm Name]:

- a SEC Investment Advisor.

If the information discusses Social Security:

- [Firm Name] and [Insurance Name] are not affiliated with or endorsed by the Social Security Administration or any other government agency.

If affiliated with an Insurance Company:

- Insurance products and services are offered through [Insurance Name], an affiliated company.

If affiliated with a Broker/Dealer add:

- Securities offered through [B/D Name], Member FINRA/SIPC. [Firm Name] and [B/D Name] are separate entities, independently operated.

All content is for information purposes only. It is not intended to provide any tax or legal advice or provide the basis for any financial decisions. Nor is it intended to be a projection of current or future performance or indication or future results. Purchases are subject to suitability. This requires a review of an investor's objective, risk tolerance, and time horizons. Investing always involves risk and possible loss of capital.

Social Security/Government Agency Disclosure

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***The image of a social security card *should not* be used.**

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Facebook

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LinkedIn

Industry regulations prohibit endorsements or testimonials for [Firm Name] or any Investment Advisor Representative. Posts must refrain from recommending advisory services or providing testimonials for our firm, since they are strictly prohibited. Please understand that we are required to delete such posts, since this is a regulatory requirement. Advisory services are offered by [Firm Name] an Investment Advisor in the State of [State]. Insurance products and services are offered through [Insurance Company Name], an affiliated company. [Firm Name] and [Insurance company name] are not affiliated with or endorsed by the Social Security Administration or any government agency, and are not engaged in the practice of law.

***For all social media, we recommend turning off posts or making them private. The exception would be SEC advisors that are adhering to the new SEC rules for testimonials and endorsements.**

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Opinions expressed herein are solely those of [Firm Name] and our editorial staff. The information contained in this material has been derived from sources believed to be reliable but is not guaranteed as to accuracy and completeness and does not purport to be a complete analysis of the materials discussed. All information and ideas should be discussed in detail with your individual adviser prior to implementation. Advisory services are offered by [Firm Name] an Investment Advisor in the State of [State]. Being registered as an investment adviser does not imply a certain level of skill or training.

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The information contained herein should in no way be construed or interpreted as a solicitation to sell or offer to sell advisory services to any residents of any State other than the State of [State] or where otherwise legally permitted.

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The inclusion of any link is not an endorsement of any products or services by [Firm Name]. All links have been provided only as a convenience. These include links to websites operated by other government agencies, nonprofit organizations and private businesses. When you use one of these links, you are no longer on this site and this Privacy Notice will not apply. When you link to another website, you are subject to the privacy of that new site.

When you follow a link to one of these sites neither [Firm Name], nor any agency, officer, or employee of the [Firm Name] warrants the accuracy, reliability or timeliness of any information published by these external sites, nor endorses any content, viewpoints, products, or services linked from these systems, and cannot be held liable for any losses caused by reliance on the accuracy, reliability or timeliness of their information. Portions of such information may be incorrect or not current. Any person or entity that relies on any information obtained from these systems does so at her or his own risk.

Washington State only

[Firm Name] is an investment adviser in the State of Washington [If applicable, insert other states where registered]. The adviser may not transact business in states where it is not appropriately registered, excluded or exempted from registration. Individualized responses to persons that involve either the effecting of transaction in securities, or the rendering of personalized investment advice for compensation, will not be made without registration or exemption. Being registered as an investment adviser does not imply a certain level of skill or training.

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Purchases are subject to suitability. This requires a review of an investor's objective, risk tolerance, and time horizons. Investing always involves risk and possible loss of capital.

If from an outside source:

- The opinions expressed do not necessarily reflect those of [Firm Name] and are subject to change without notice.

Email and Voicemail

Email Signature Line

Name, title
Office address
Phone/fax

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